



2121

PTO/SB/21 (05-03)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/841,219	
	Filing Date	April 24, 2001	
	First Named Inventor	Robert MANN et al.	
	Art Unit	2121	
	Examiner Name		
Total Number of Pages In This Submission	4	Attorney Docket Number	74577-030

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John C. Stellabotte, Reg. No. 47,969 Proskauer Rose LLP
Signature	<i>John C. Stellabotte</i>
Date	June 26, 2003

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
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Signature	<i>Alexander Migirov</i>
Date	June 26, 2003

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PTO/SB/01 (05-03)

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/841,219
Filing Date	April 24, 2001
First Named Inventor	Robert Mann, et al.
Title	PERFORMANCE MEASUREMENT...
Art Unit	2121
Examiner Name	
Attorney Docket Number	74577-030

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Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

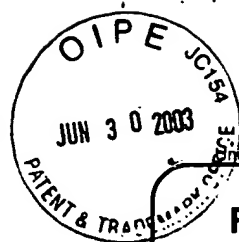
Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		
Signature			
Date	6-20-03	Telephone	203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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PTO/SB/82 (05-03)

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**REVOCATION OF POWER OF
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Application Number	09/841,219
Filing Date	April 24, 2001
First Named Inventor	Robert MANN, et al.
Art Unit	2121
Examiner Name	
Attorney Docket Number	74577-030

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A power of Attorney or Authorization of Agent is submitted herewith.**RECEIVED**

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**RECEIVED**

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GROUP 3600**SIGNATURE of Applicant or Assignee of Record**

Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		
Signature			
Date	6-20-03	Telephone	203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Robert Mann et al.

Application No./Patent No.: 09/841,219 Filed/Issue Date: April 24, 2001

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Entitled: PERFORMANCE MEASUREMENT & MANAGEMENT

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UBS AG

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Robert MANN et al. To: UBS AG

The document was recorded in the United States Patent and Trademark Office at
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6-20-03

Date

203.719.3099

Telephone number

Gregg I. Goldman

Typed or printed name

[Signature]
Signature

Director and Counsel

Title

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